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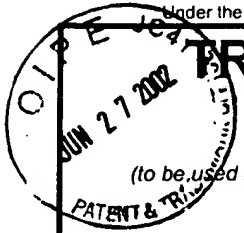
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TRANSMITTAL FORM

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/977478	
	Filing Date	Oct 15, 2001	
	First Named Inventor	Benedikt Sas	
	Group Art Unit	1626	
	Examiner Name	To be assigned	
Total Number of Pages in This Submission	4	Attorney Docket Number	4532680/71680

ENCLOSURES (check all that apply)

Fee Transmittal Form Fee Attached Amendment / Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	Assignment Papers (for an Application) Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s)	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Postcard
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Jill M. Altringer, Esq.
Signature	<i>Jill Altringer</i>
Date	June 19, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: <u>June 20, 2002</u>			
Type or printed name	Kristen T. McKern		
Signature	<i>Kristen T. McKern</i>	Date	June 20, 2002

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Benedikt Sas

Serial No.: 09/977,478

Filed: 10/15/2001

Title: P: PREPARATION AND USE OF
CARBOHYDRATE-BASED
BICYCLIC RING STRUCTURES
WITH ANTIMICROBIAL
CYTOSTATIC ACTIVITY

Group Art Unit: 1626

Examiner: To be Assigned

Attorney Docket No.: 4532670/71680

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Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
- ☐ Statement under 37 CFR 1.97(e), or
 - ☐ a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:
- ☐ Statement under 37 CFR 1.97(e), and
 - ☐ a \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

☒ Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which

applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which Applicant is aware. No such documents are known.

There is reserved the right to later set forth how the instant invention is distinguished over the disclosure of any document or other art that may be cited by the Examiner in rejecting a claim in the instant patent application.

The recitation herein of no art or documents is not to be construed as an assertion that more pertinent art could not possibly be in existence.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: June 20, 2002

Typed Name: Kristen T. McKern

Signature: Kristen T. McKern

Jill M. Altringer
Jill M. Altringer
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Reg. No. P-51,397

Date: June 19, 2002

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